Tutorial at EPE 2020

Model Predictive Control of Power Electronic Systems

Name and Affiliation of the Authors

- **Tobias Geyer**, ABB Corporate Research, ABB Switzerland Ltd., 5405 Baden-Dättwil, Switzerland; e-mail: t.geyer@ieee.org

- **Petros Karamanakos**, Faculty of Information Technology and Communication Sciences, Tampere University, 33101 Tampere, Finland; e-mail: p.karamanakos@ieee.org

Scope and Benefits

Model predictive control (MPC) in power electronics has gained much attention in the last decade, especially in its form of direct MPC with reference tracking, also referred to as finite control set MPC (FCS-MPC). Reasons for this include the advent of powerful microprocessors as well as its allegedly straightforward and simple design procedure. Because of the latter, researchers advocate the use of FCS-MPC in industry as a superior alternative to established control methods. However, industry is reluctant to adopt new control methods that do not provide significant economic benefits. To achieve these benefits with control, it is mandatory to improve some key aspects of the system performance; this, in turn, is typically achieved with more complicated control methods.

Motivated by the previous observations, the goal of the tutorial is to provide insight in the pitfalls arising from the design simplifications of MPC, and suggest MPC designs that improve the power electronic system performance. In particular, this tutorial focuses on (a) design guidelines that improve the performance of FCS-MPC, and (b) alternatives that either use a modulator (indirect MPC) or have an embedded modulator (model predictive pulse pattern control and gradient-based MPC) and achieve superior performance compared with conventional control techniques.

Overall, the tutorial aims at providing a balanced mix of theory and application-related material. Special care is taken to ensure that the presented material is intuitively accessible to the power electronics practitioner. This is achieved by augmenting the mathematical formulations by illustrations and simple examples.

By the end of the tutorial, the attendees:

- will have a new insight on the design of MPC-based controllers,
- will be able to understand what design options exist that maximize the system performance,
• will be able to design MPC-based controllers that outperform conventional control techniques and push the system performance to its physical limits.

Contents

The outline of the tutorial day is as follows:

• Part 1: Introduction to FCS-MPC with short and long prediction horizons: Basic control concepts and basic ingredients of MPC (plant model, optimal control problem, receding horizon policy), formulation of the FCS-MPC problem with short and long horizons, computationally efficient solution of the FCS-MPC problem using sphere decoding, several case studies.

• Part 2: Guidelines for the design of FCS-MPC-based controllers: Analysis of the factors that affect the closed-loop performance of FCS-MPC, design guidelines that help to maximize the system performance, performance assessment based on two different case studies.

• Part 3: Model predictive pulse pattern control: Introduction to optimal modulation (optimized pulse patterns, OPPs), stator flux trajectory tracking, formulation of the OPP controller in the framework of MPC, refinements and extensions, performance assessment.

• Part 4: Indirect MPC and gradient-based MPC: Introduction to carrier-based pulse width modulation, analysis of the system constraints, formulation of the optimal control problem, performance assessment.

Provisional schedule

<table>
<thead>
<tr>
<th>Time</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>08:30–09:30</td>
<td>Registration for full-day tutorial</td>
</tr>
<tr>
<td>09:30–11:00</td>
<td>Part 1: Introduction to FCS-MPC (T. Geyer)</td>
</tr>
<tr>
<td>11:00–11:30</td>
<td>Coffee break</td>
</tr>
<tr>
<td>11:30–13:00</td>
<td>Part 2: Design guidelines for FCS-MPC (P. Karamanakos)</td>
</tr>
<tr>
<td>13:00–14:00</td>
<td>Lunch break</td>
</tr>
<tr>
<td>14:00–15:30</td>
<td>Part 3: Model predictive pulse pattern control (T. Geyer)</td>
</tr>
<tr>
<td>15:30–16:00</td>
<td>Coffee break</td>
</tr>
<tr>
<td>16:00–17:30</td>
<td>Part 4: Indirect and gradient-based MPC (P. Karamanakos)</td>
</tr>
</tbody>
</table>

Who Should Attend

The target audience of this tutorial are researchers from both academia and industry (e.g., university students at, or above, the M.Sc. level, academics, and engineers in industry focusing on research and development) who are interested in an introduction to MPC for power electronics systems and its different approaches.

Technical Level: The tutorial aims to provide the fundamentals of MPC and the discussed methods, and gradually builds on top of them. Thus, the required knowledge level spans over a wide range, starting from a beginner level. Ideally, the attendees should have a good understanding of the basics of power electronics and electrical machines (voltage source inverters, pulse width modulation, three-phase induction machines) and system modeling (coordinate transformations, linear systems, state-space representation, discrete-time systems). Familiarity with modern control theory (optimal control) and exposure to optimization (integer programming, quadratic programming) are helpful but not a prerequisite.
About the Instructors

Tobias Geyer received the Dipl.-Ing. and Ph.D. degrees in electrical engineering from ETH Zurich, Zurich, Switzerland, in 2000 and 2005, respectively, and the Habilitation degree in power electronics from ETH Zurich, Zurich, Switzerland, in 2017.

After his Ph.D., he spent three years at GE Global Research, Munich, Germany, and another three years at the University of Auckland, Auckland, New Zealand. In 2012, he joined ABB’s Corporate Research Centre, Baden-Dättwil, Switzerland, where he is currently a Senior Principal Scientist for power conversion control. He was appointed as an extraordinary Professor at Stellenbosch University, Stellenbosch, South Africa, from 2017 to 2020.

He is the author of more than 130 peer-reviewed publications, 30 patent applications, and the book “Model predictive control of high power converters and industrial drives” (Wiley, 2016). He teaches a regular course on model predictive control at ETH Zurich. His research interests include medium-voltage and low-voltage drives, utility-scale power converters and model predictive control.

Dr. Geyer is the recipient of the 2017 First Place Prize Paper Award in the Transactions on Power Electronics, the 2014 Third Place Prize Paper Award in the Transactions on Industry Applications, and of two Prize Paper Awards at conferences. He is a former Associate Editor for the Transactions on Industry Applications (from 2011 until 2014) and the Transactions on Power Electronics (from 2013 until 2019). He was an international program committee vice chair of the IFAC conference on Nonlinear Model Predictive Control in Madison, WI, USA, in 2018. He has been an IEEE Senior Member since 2010.

Petros Karamanakos received the Diploma and the Ph.D. degrees in electrical and computer engineering from the National Technical University of Athens (NTUA), Athens, Greece, in 2007, and 2013, respectively.

From 2010 to 2011 he was with the ABB Corporate Research Center, Baden-Dättwil, Switzerland, where he worked on model predictive control strategies for medium-voltage drives. From 2013 to 2016 he was a PostDoc Research Associate in the Chair of Electrical Drive Systems and Power Electronics, Technische Universität München, Munich, Germany. Since September 2016, he has been an Assistant Professor in the Faculty of Information Technology and Communication Sciences, Tampere University, Tampere, Finland. His main research interests lie at the intersection of optimal control, mathematical programming and power electronics, including model predictive control for power electronic converters and ac drives.

Dr. Karamanakos received the 2014 Third Best Paper Award of the IEEE Transactions on Industry Applications and the First Prize Paper Award of the Industrial Drives Committee at the 2013 IEEE Energy Conversion Congress and Exposition. He is an Associate Editor of the IEEE Transactions on Industry Applications. He has been an IEEE Senior Member since 2019.